



APPLICATION FOR EMPLOYMENT AS ASSISTANT ATTORNEY GENERAL
 OFFICE OF THE ATTORNEY GENERAL OF TEXAS
 HUMAN RESOURCES DIVISION
 P.O. BOX 12548
 AUSTIN, TEXAS 78711

POSTING NO.

I. GENERAL INFORMATION

NAME:		Cruz		Rafael		Edward	
		Last		First		Middle	
Texas Driver's License No.	Virginia	Social Security No. (optional)		State Bar Number	24001953	Date of SBT Admission	11/07/97
Other courts in which admitted to practice, including admission dates:				District of Columbia (9/11/98); Supreme Court of the U.S. (4/22/02); U.S. Courts of Appeals (4 th , DC & Fed. Circuits)			

HOME ADDRESS							
Number and Street		City	County	Zip	Home Telephone		
OFFICE ADDRESS Federal Trade Commission							
600 Pennsylvania Avenue, N.W. Washington, DC				20580	(202) 326-3683		
Number and Street or Building Name		City	County	Zip	Home Telephone		

COLLEGES AND LAW SCHOOLS ATTENDED:	FROM	TO	MAJOR	DEGREE
Harvard Law School (Law School)	1992	1995		J.D.
Princeton University (College or University - Undergraduate)	1988	1992	Public Policy	A.B.
(Other)				

ACADEMIC HONORS OR AWARDS:	
Rank in Graduating Class From Law School:	Magna Cum Laude (rank not available)
I am certified by the Texas Board of Legal Specialization in the field(s) of:	
I have engaged in full time practice of law for	years. Martindale-Hubbell rating, if one:

WORK EXPERIENCE (List most recent first; use attachment if needed):

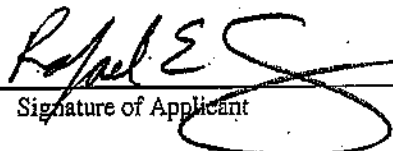
Employer/Address	Nature of work	Period employed	Ending salary	Why did you leave?
Federal Trade Commission, Washington, DC	Law	6/01-2/03	142,500	New Job
U.S. Department of Justice, Washington, DC	Law	1/01-5/01	120,261	New Job
Bush-Cheney Transition Team, Washington, DC	Law/Policy	12/00-1/01	45,000	New Job
Bush-Cheney Recount Team, Tallahassee, FL	Law	11/00-12/00	40,000	New Job
Bush-Cheney 2000, Austin, TX	Law/Policy	6/99-11/00	40,000	New Job
Cooper, Carvin & Rosenthal, Washington, DC	Law	9/97-6/99	165,000	New Job

II. SUITABILITY AND VERIFICATION

A. IF ANY OF THE FIRST SEVEN QUESTIONS ARE ANSWERED "YES," GIVE THE FULL DETAILS IN AN ATTACHMENT TO THIS APPLICATION.

1. If employed as an Assistant Attorney General, will you have income from any other source? **YES.** Income from (1) _____, and (2) minor investment income.
2. Do you have any investments, property or financial obligations which might in any way be in conflict with the Office of the Attorney General? **NO.**
3. Have you ever been a candidate for, or been appointed to, any elective public office? **NO.**
4. Have you ever been disciplined by any segment of the bar, including, but not limited to, any local, district or State grievance authority? **NO.**
5. Are any charges now pending against you, either in court or before a grievance committee, or has any such charge been threatened? **NO.**
6. Have you ever been subject to deferred adjudication, indictment, conviction, probation or fined for a crime other than a traffic offense? **YES.** In 1987 (as a teenager), pleaded guilty to Minor in Possession of Alcohol.
7. Have you (personally and/or on behalf of a client) ever been cited for contempt or sanctioned by any judge or court? **NO.**
8. Are you presently in good standing with the State Bar of Texas/Supreme Court of Texas? (Note: all candidates offered employment will be required to provide, at their own expense, a current certificate of good standing issued by the Supreme Court of Texas.) **YES.**

B. In making and filing this application for employment, I authorize all persons, firms, officers, corporations, associations, organizations, State and Federal agencies, and institutions to furnish to the Office of the Attorney General or any of its authorized representatives, all relevant documents, records or other information that may be requested in the investigation of this application, specifically including the records of grievances in possession of a grievance committee or the general counsel of the State Bar. I further agree that all information received by the Office of the Attorney General may be treated confidentially, and I specifically waive any right to review the statements of reference made to the Office of the Attorney General which become a part of my official file.


Signature of Applicant

February 6, 2003

Date

Disclosure of your social security number (SSN) is optional. State employees can elect in writing to protect their SSN from public disclosure pursuant to Tex. Gov't Code §552.024; however, this protection does not extend to applicants or non-employees. The agency will use the SSN for tax, payroll, benefit administration, for administrative tracking purposes and for identification of individuals.

You have the right to request and be informed about information that the State of Texas collects about you. You are entitled to receive and review the information upon request. You also have the right to ask the state agency to correct any information that is determined to be incorrect. (Reference: Government Code, Sections 522.021, 522.023 and 559.004.)

III. EXTENT AND TYPE OF LEGAL EXPERIENCE

A. DURING THE 3 YEARS IMMEDIATELY PRECEDING THIS APPLICATION, I HAVE PARTICIPATED IN LEGAL PRACTICE DESCRIBED BELOW TO THE EXTENT AND IN THE CAPACITY INDICATED:

		NUMBER HANDLED (APPROXIMATED)
		LEAD COUNSEL
1.	Cases settled prior to institution of suit or filing of criminal charges.	0
2.	Cases filed on behalf of plaintiffs and/or number of appearances filed on behalf of defendants.	0
3.	Contested pretrial motions submitted.	1
4.	Oral depositions taken.	0
5.	Cases settled after institutions of suit or filing of criminal charges.	0
6.	Juries selected.	0
7.	Jury arguments made.	0
8.	Cases tried to a verdict in a court of record.	1/0
9.	Cases handled to a conclusion before administrative agencies.	0
10.	Appellate briefs prepared. (Including amicus briefs in district court.)	18/2
11.	Oral arguments made in appellate courts. (Including arguments in district court.)	1
12.	Other.	

B. Use the following spaces to reflect the major substantive fields in which you have worked (including both civil and criminal practice), indicating an estimate of the percentage of your total experience. You may use the blank space provided to state any other field of law to which you have devoted a substantial amount of time. This listing should reflect the range of your entire career in law practice up to the time of your application. "Experience" includes office practice, administrative law, pre-trial, trial and appellate processes and includes evaluating, handling and resolving criminal and civil controversies both prior to the filing of suit or charges as well as thereafter, regardless of what stage the litigation reached before settlement, dismissal or judgement.

General contract		Business torts	
General commercial	25%	Fraud & fiduciary breach	
Corporate & partnership		Securities	
Construction		Antitrust	25%-
Creditor & debtor rights		Wills, estates & trusts	
Consumer remedies	10%	Professional liability	
Real property & condemnation		Personal injury & death	
Intellectual properties		Criminal	
Oil, gas & minerals		Family	
Administrative		Labor	
Taxation		Public interest law	10%
Environmental		Other - Constitutional	30%

IV. PROFESSIONAL DEVELOPMENT AND PUBLICATIONS

A. SEMINARS AND COURSES ATTENDED IN LAST 3 YEARS
(attach additional sheet, if necessary) SEE ATTACHED

1.

Name of course:					
Sponsor:					
Subject matter:					
Hours attended:		Date:		Location:	

2.

Name of course:					
Sponsor:					
Subject matter:					
Hours attended:		Date:		Location:	

3.

Name of course:					
Sponsor:					
Subject matter:					
Hours attended:		Date:		Location:	

B. OTHER INVOLVEMENT IN CLE

Such as teaching a course, participation as a panelist or speaker, or active participation in a professional committee dealing with a specific problem of substantive or procedural law. Give full details below if applicable.

Speeches presented in CLE programs:

ABA Antitrust Section, *FTC Amicus Briefs* (12/12/02)

ABA Antitrust Section, *Hot Topics: Barriers to Internet Commerce* (April 25, 2002)

Generic Pharmaceutical Association National Conference, *Anticompetitive Practices in Pharmaceuticals* (4/9/02).

Houston Intellectual Property Law Association, *IP and Antitrust* (11/9/01)

Federalist Society, *Government: Friend or Foe of E-Commerce* (Oct. 18, 2001)

Federalist Society, *Antitrust in the New Administration* (Aug. 1, 2001)

C. PUBLICATIONS

Please describe any legal articles or books you have authored, co-authored or to which you have substantially contributed. Include any contributions to law reviews while in school.


Not My Brother's Keeper: The Inability of an Informed Minority to Correct for Imperfect Information, 47 HASTINGS L.J. 635 (1996) (with Jeffrey J. Hinck); Case Comment, J.E.B. v. Alabama ex rel. T.B., 108 HARV. L. REV. 240 (1994); Developments in the Law - Lawyers' Responsibilities and Responses: The 1993 Amendments to Federal Rule of Civil Procedure 11, 107 HARV. L. REV. 1629 (1994); Recent Development, Animal Sacrifice and Equal Protection Free Exercise: Church of the Lukumi Babalu Aye, Inc. v. City of Hialeah, 17 HARV. J.L. & PUB. POL'Y 262 (1994).

D. PROFESSIONAL ASSOCIATIONS

List bar and other associations in which you hold current membership and any offices you have held in such organizations.

Steering Committee, Texas Review of Law & Politics

Member, The Federalist Society for Law and Public Policy

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MCLE Member Home Page

Welcome MR. RAFAEL EDWARD CRUZ !

ATTENTION: The information contained in this report is provided as a tool for use in tracking MCLE compliance requirements. This report reflects current status only, is subject to change, and does not replace the Annual Verification Report which is mailed to each attorney during his/her birth month. Questions regarding MCLE reporting status, MCLE compliance status, course credits, CLE activities listed, or Summary of CLE Hours should be directed to the [MCLE Department](#) at (800)204-2222, ext. 2106. Questions regarding Membership status or address/contact information should be directed to the [Membership](#) department at (800) 204-2222, ext 1383.

2002 MCLE Compliance Information (Prior Year)

Membership Status: **ACTIVE**
 Name: **MR. RAFAEL EDWARD CRUZ**
 MCLE Reporting Status: **REGULAR**
 Compliance Dates: **12/1/2001 thru 11/30/2002**
 MCLE Compliance Status: **IN COMPLIANCE**

ATTENTION:

All requirements for the 2002 MCLE compliance year have been met.

Contact Information (Click here for Address Changes)

Office (*Preferred)	Home
Address1:	Address1:
Address2:	Address2:
City, State, Zip:	City, State, Zip:

2002 CLE Activities

Date	Course Id	Title	Credit Hours	Ethics Hours
11/14/2002	000039042	<u>2002 National Lawyer's Convention</u>	6.00	1.50
4/24/2002	000032406	<u>02366/2002 Antitrust Law Spring Meeting</u>	16.50	2.00
2/4/2002		Other Self-study	5.00	1.00
1/28/2002		Other Self-study	5.00	1.00

TOTAL CLE HOURS REPORTED DURING THE 2002 COMPLIANCE YEAR

32.50 5.50

2002 CLE Summary Information

	Participatory Hours	Participatory Ethics	Self-Study Hours	Self-Study Ethics	Total Hours	Total Ethics
1. Carried Forward From 2001	10.25	1.25	0.00	0.00	10.25	1.25
2. Hours Earned During 2002	22.50	3.50	10.00	2.00	32.50	5.50
3. Hours Applied Toward 2002	11.00	2.00	4.00	1.00	15.00	3.00
4. Hours Needed For 2002	0.00	0.00	0.00	0.00	0.00	0.00
5. Carry Forward To 2003	14.75	2.75	0.25	0.25	15.00	3.00
6. Hours Needed in 2003	0.00	0.00	0.00	0.00	0.00	0.00

2002 Compliance Notes

YOUR MCLE RECORD SHOWS YOU HAVE COMPLETED ALL OF THE REQUIRED CLE FOR THIS MCLE COMPLIANCE YEAR. UNLESS THE INFORMATION ON THIS REPORT IS INCORRECT, NO FURTHER ACTION ON YOUR PART IS REQUIRED. FOR QUESTIONS REGARDING YOUR RECORD, CONTACT MCLE AT 1-800-204-2222, EXT. 2106.

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2001 MCLE Compliance Information (Prior Year)

Membership Status: **ACTIVE**
 Name: **MR. RAFAEL EDWARD CRUZ**
 MCLE Reporting Status: **REGULAR**
 Compliance Dates: **12/1/2000 thru 11/30/2001**
 MCLE Compliance Status: **IN COMPLIANCE**

ATTENTION:

All requirements for the 2001 MCLE compliance year have been met.

Contact Information (Click here for Address Changes)

Office (*Preferred)	Home
Address1:	Address1:
Address2:	Address2:
City, State, Zip:	City, State, Zip:

2001 CLE Activities


Date	Course Id	Title	Credit Hours	Ethics Hours
11/30/2001	000022054	<u>Ethics and Patent Law</u>	2.00	2.00
11/15/2001	000026264	<u>02182/Fall Forum: New Technologies New Administrations</u>	8.25	0.00
11/15/2001	000024764	<u>2001 National Lawyers Convention</u>	14.25	1.50
TOTAL CLE HOURS REPORTED DURING THE 2001 COMPLIANCE YEAR			24.50	3.50

2001 CLE Summary Information	Participatory Hours	Participatory Ethics	Self-Study Hours	Self-Study Ethics	Total Hours	Total Ethics
1. Carried Forward From 2000	0.75	0.75	0.00	0.00	0.75	0.75
2. Hours Earned During 2001	24.50	3.50	0.00	0.00	24.50	3.50
3. Hours Applied Toward 2001	15.00	3.00	0.00	0.00	15.00	3.00
4. Hours Needed For 2001	0.00	0.00	0.00	0.00	0.00	0.00
5. Carry Forward To 2002	10.25	1.25	0.00	0.00	10.25	1.25
6. Hours Needed in 2002	0.75	0.75	4.00	1.00	4.75	1.75

2001 Compliance Notes

YOUR MCLE RECORD SHOWS YOU HAVE COMPLETED ALL OF THE REQUIRED CLE FOR THIS MCLE COMPLIANCE YEAR. UNLESS THE INFORMATION ON THIS REPORT IS INCORRECT, NO FURTHER ACTION ON YOUR PART IS REQUIRED. FOR QUESTIONS REGARDING YOUR RECORD, CONTACT MCLE AT 1-800-204-2222, EXT. 2106.

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2000 MCLE Compliance Information (Prior Year)

Membership Status: **ACTIVE**
 Name: **MR. RAFAEL EDWARD CRUZ**
 MCLE Reporting Status: **REGULAR**
 Compliance Dates: **12/1/1999 thru 11/30/2000**
 MCLE Compliance Status: **IN COMPLIANCE**

ATTENTION:

All requirements for the 2000 MCLE compliance year have been met.

Contact Information (Click here for Address Changes)

Office (*Preferred)	Home
Address1:	Address1:
Address2:	Address2:
City, State, Zip:	City, State, Zip:

2000 CLE Activities

Date	Course Id	Title	Credit Hours	Ethics Hours
3/1/2001	000015525	<u>When Client is Corporation-Those Onerous Privilege</u>	2.00	2.00
11/30/2000		Other Self-study	5.00	1.00
3/29/2000	000004417	<u>Religion & The Public Square</u>	1.00	0.00
TOTAL CLE HOURS REPORTED DURING THE 2000 COMPLIANCE YEAR			8.00	3.00

2000 CLE Summary Information	Participatory Hours	Participatory Ethics	Self-Study Hours	Self-Study Ethics	Total Hours	Total Ethics
1. Carried Forward From 1999	7.75	3.00	0.00	0.00	7.75	3.00
2. Hours Earned During 2000	3.00	2.00	5.00	1.00	8.00	3.00
3. Hours Applied Toward 2000	10.00	3.00	5.00	0.00	15.00	3.00
4. Hours Needed For 2000	0.00	0.00	0.00	0.00	0.00	0.00
5. Carry Forward To 2001	0.75	0.75	0.00	0.00	0.75	0.75
6. Hours Needed in 2001	9.25	1.25	5.00	1.00	14.25	2.25

2000 Compliance Notes

YOUR MCLE RECORD SHOWS YOU HAVE COMPLETED ALL OF THE REQUIRED CLE FOR THIS MCLE COMPLIANCE YEAR. UNLESS THE INFORMATION ON THIS REPORT IS INCORRECT, NO FURTHER ACTION ON YOUR PART IS REQUIRED. FOR QUESTIONS REGARDING YOUR RECORD, CONTACT MCLE AT 1-800-204-2222, EXT. 2106.

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1999 MCLE Compliance Information (Prior Year)

Membership Status: **ACTIVE**
 Name: **MR. RAFAEL EDWARD CRUZ**
 MCLE Reporting Status: **REGULAR**
 Compliance Dates: **12/1/1997 thru 11/30/1999**
 MCLE Compliance Status: **IN COMPLIANCE**

ATTENTION:

All requirements for the 1999 MCLE compliance year have been met.

Contact Information (Click here for Address Changes)

Office (*Preferred)	Home
Address1:	Address1:
Address2:	Address2:
City, State, Zip:	City, State, Zip:

1999 CLE Activities

Date	Course Id	Title	Credit Hours	Ethics Hours
11/13/1998	400598729	<u>Eleventh Annual National Lawyers Division</u>	18.75	3.00
9/25/1998	077397328	<u>A Guide to the Basics of Law Practice</u>	4.00	4.00
TOTAL CLE HOURS REPORTED DURING THE 1999 COMPLIANCE YEAR			22.75	7.00

1999 CLE Summary Information	Participatory Hours	Participatory Ethics	Self-Study Hours	Self-Study Ethics	Total Hours	Total Ethics
1. Carried Forward From 1998	0.00	0.00	0.00	0.00	0.00	0.00
2. Hours Earned During 1999	22.75	7.00	0.00	0.00	22.75	7.00
3. Hours Applied Toward 1999	15.00	3.00	0.00	0.00	15.00	3.00
4. Hours Needed For 1999	0.00	0.00	0.00	0.00	0.00	0.00
5. Carry Forward To 2000	7.75	3.00	0.00	0.00	7.75	3.00
6. Hours Needed in 2000	2.25	0.00	5.00	0.00	7.25	0.00

1999 Compliance Notes

YOUR MCLE RECORD SHOWS YOU HAVE COMPLETED ALL OF THE REQUIRED CLE FOR THIS MCLE COMPLIANCE YEAR. UNLESS THE INFORMATION ON THIS REPORT IS INCORRECT, NO FURTHER ACTION ON YOUR PART IS REQUIRED. FOR QUESTIONS REGARDING YOUR RECORD, CONTACT MCLE AT 1-800-204-2222, EXT. 2106.

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Office of the Attorney General

FILE CONTROL 15 2003

PERSONNEL ACTION FORM
(Please Type)

Human Resources Liaison Pat Grohman		Phone Number 463-0879
Name (Last, First, Middle) Cruz, Ted	User ID	Social Security Number
Work Address 209 West 14 th Street 8 th Floor Austin, Texas 78701		Mail Code 001
Date of Employment 02/10/03	Last Evaluation Period	Last Merit/Promotion Date
Effective Date of Action 8/1/03 8-10-03 CM		Work Phone 463-2191

Action One-Time Merit Increase	If direct transfer, name of other state agency
Separation Date	Last Day Physically Worked
Leave Without Pay Period to	

RECEIVED

FROM: 059	EMPLOYEE INFORMATION	TO: JUL 16 2003
Solicitor General	Division/Budget Division Code	Solicitor General BUDGET & PURCHASING
0865-0105	Position Number	
Executive-Exempt		Executive-Exempt
40.00		40.00
B21/11		B21/11 15
\$8,885.76/\$106,629.12		\$8,885.76/\$106,629.12 - 8986
10026		
1624/A		1624 A
Director V	Job Class Title	Director V
8655GNL61LG		
Comments: One-Time Merit Increase of \$2,500		Division/Unit has office/cubicle space for this employee <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Original PAF

REQUESTING DIVISION		
Supervisor Name/Position Number	Division Chief's Signature	Date
HUMAN RESOURCES DIVISION		
Posting Approval <input type="checkbox"/> Yes <input type="checkbox"/> No	Signature	Date
Action Approval <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Signature	Date 7/15/03
BUDGET DIVISION		
Funds certification <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Signature Suzanne S. Brown	Date 7/17/03
DEPUTY ASSISTANT ATTORNEY GENERAL		
Action Approval <input type="checkbox"/> Yes <input type="checkbox"/> No	Signature	Date
FIRST ASSISTANT ATTORNEY GENERAL		
Action Approval <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Signature	Date 7/15/03

*Approved OTH Allocation Plan 6/03

(PR)

HRD

Office of the Attorney General

HR FILE COPY

Office of the Attorney General
Human Resources Division

TAUG 29 2003

PERSONNEL ACTION FORM (Please Type)		Human Resources Liaison	Phone Number
Name (Last, First, Middle) Cruz, Ted	User ID	Social Security Number	Effective Date of Action 8/10/03
Work Address 209 W 14th	Mail Code 001	Work Phone	
Date of Employment	Last Evaluation Period	Last Merit/Promotion Date	Effective Date (HRD)

Action One Time Merit	If direct transfer, name of other state agency
Separation Date	Last Day Physically Worked
	Leave Without Pay Period to

FROM:	EMPLOYEE INFORMATION	TO:
	Division/Budget Division Code	Solicitor General 865
	Position Number	0865-0105
	ELSA Indicator (E, R, D, U, S)	Exec exempt
	Weekly Hours	40
	Pay Group/Step	B21/15
	Monthly/Annual Salary	\$8886
	Primary/PCA/Secondary/PCA (Budget Use)	
	Class Number/EEO Function	1624 A
	Manager/Supervisor Flag	
	Job Class Title	Director V
	Organization Code (Budget Use)	865

Comments:
One Time Merit of \$3250

Division/Unit has office/cubicle space for this employee Yes No

REQUESTING DIVISION		
Supervisor Name/Position Number [Supervisor Name/Position #]	Division Chief's Signature	Date
HUMAN RESOURCES DIVISION		
Posting Approval <input type="checkbox"/> Yes <input type="checkbox"/> No	Signature	Date
Action Approval <input type="checkbox"/> Yes <input type="checkbox"/> No	Signature <i>[Signature]</i>	Date 8/29/03
BUDGET DIVISION		
Funds certification <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Signature <i>[Signature]</i>	Date 8/29/03
DEPUTY ASSISTANT ATTORNEY GENERAL		
Action Approval <input type="checkbox"/> Yes <input type="checkbox"/> No	Signature	Date
FIRST ASSISTANT ATTORNEY GENERAL		
Action Approval <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	Signature <i>[Signature]</i>	Date 8/29/03

PS